IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: C. Keith Attorney Docket No.: 125525

Application No.: 09/802163 Art Unit: 3691 / Confirmation No.: 1129

Filed: March 8, 2001 Examiner: C.B. Graham

Title: AUTOMATED FIRST LOOK AT MARKET EVENTS

AMENDMENT AFTER NON-FINAL REJECTION

Seattle, Washington 98101

October 27, 2010

TO THE COMMISSIONER FOR PATENTS:

INTRODUCTORY COMMENTS

Please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of the claims, which begins on page 2 of this paper.

Remarks/Arguments begin on page 10 of this paper.